

APPLICATION FOR FINANCIAL ASSISTANCE

Revised 4/99

CBO7I

**IMPORTANT:** Please consult the "Instructions for Completing the Project Application" for assistance in completion of this form.

SUBDIVISION: City of Mt. Healthy

CODE# 061-52752

DISTRICT NUMBER: 2 COUNTY: Hamilton DATE 09 / 14 / 04

CONTACT: Jennifer L. Vatter PHONE # (513) 721-5500

(THE PROJECT CONTACT PERSON SHOULD BE THE INDIVIDUAL WHO WILL BE AVAILABLE ON A DAY-TO-DAY BASIS DURING THE APPLICATION REVIEW AND SELECTION PROCESS AND WHO CAN BEST ANSWER OR COORDINATE THE RESPONSE TO QUESTIONS)

FAX (513) 721-0607 E-MAIL jvatter@jmaconsult.com

PROJECT NAME: Elizabeth Street Reconstruction Phase II

SUBDIVISION TYPE

(Check only 1)

- ☐ 1. County  
☒ 2. City  
☐ 3. Township  
☐ 4. Village  
☐ 5. Water/Sanitary District  
(Section 6119 O.R.C.)

FUNDING TYPE REQUESTED

(Check All Requested & Enter Amount)

- ☒ 1. Grant \$ 320,000  
☐ 2. Loan \$ \_\_\_\_\_  
☐ 3. Loan Assistance \$ \_\_\_\_\_

PROJECT TYPE

(Check Largest Component)

- ☒ 1. Road  
☐ 2. Bridge/Culvert  
☐ 3. Water Supply  
☐ 4. Wastewater  
☐ 5. Solid Waste  
☐ 6. Stormwater

TOTAL PROJECT COST: \$ 400,000.00

FUNDING REQUESTED: \$ 320,000.00

2004 SEP 17 PM 1:07

OFFICE OF NEW BURLINGTON  
COUNTY ENGINEER

DISTRICT RECOMMENDATION

To be completed by the District Committee ONLY

GRANT: \$ 320,000

SCIP LOAN: \$ \_\_\_\_\_

RLP LOAN: \$ \_\_\_\_\_

AN ASSISTANCE: \$ \_\_\_\_\_

RATE: \_\_\_\_\_ % TERM: \_\_\_\_\_ yrs.

RATE: \_\_\_\_\_ % TERM: \_\_\_\_\_ yrs.

(Check only 1)

- ☒ State Capital Improvement Program  
☐ Local Transportation Improvements Program

☐ Small Government Program

FOR OPWC USE ONLY

PROJECT NUMBER: C \_\_\_\_\_ / C \_\_\_\_\_

Local Participation \_\_\_\_\_ %

OPWC Participation \_\_\_\_\_ %

Project Release Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

OPWC Approval: \_\_\_\_\_

APPROVED FUNDING: \_\_\_\_\_

Loan Interest Rate: \_\_\_\_\_ %

Loan Term: \_\_\_\_\_ years

Maturity Date: \_\_\_\_\_

Date Approved: \_\_\_\_/\_\_\_\_/\_\_\_\_

SCIP Loan \_\_\_\_\_ RLP Loan \_\_\_\_\_

**1.0 PROJECT FINANCIAL INFORMATION**

**1.1 PROJECT ESTIMATED COSTS:**  
(Round to Nearest Dollar)

**TOTAL DOLLARS**

**FORCE ACCOUNT  
DOLLARS**

- a.) **Basic Engineering Services:** \$ \_\_\_\_\_ .00
- Preliminary Design                 \$ \_\_\_\_\_ .00
- Final Design                         \$ \_\_\_\_\_ .00
- Bidding                               \$ \_\_\_\_\_ .00
- Construction Phase                 \$ \_\_\_\_\_ .00
- Additional Engineering Services     \$ \_\_\_\_\_ .00
- \*Identify services and costs below.
- b.) **Acquisition Expenses:**
- Land and/or Right-of-Way           \$ \_\_\_\_\_ .00
- c.) **Construction Costs:** \$ 400,000 .00
- d.) **Equipment Purchased Directly:** \$ \_\_\_\_\_ .00
- e.) **Permits, Advertising, Legal:** \$ \_\_\_\_\_ .00  
(Or Interest Costs for Loan Assistance Applications Only)
- f.) **Construction Contingencies:** \$ \_\_\_\_\_ .00
- g.) **TOTAL ESTIMATED COSTS:** \$ 400,000 .00

\*List Additional Engineering Services here:  
Service:

Cost:

**1.2 PROJECT FINANCIAL RESOURCES:**  
(Round to Nearest Dollar and Percent)

	DOLLARS	%
a.) Local In-Kind Contributions	\$ _____ .00	
b.) Local Revenues	\$ <u>80,000</u> .00	<u>20</u>
c.) Other Public Revenues	\$ _____ .00	
ODOT	\$ _____ .00	
Rural Development	\$ _____ .00	
OEPA	\$ _____ .00	
OWDA	\$ _____ .00	
CDBG	\$ _____ .00	
OTHER _____	\$ _____ .00	
SUBTOTAL LOCAL RESOURCES:	\$ <u>80,000</u> .00	<u>20</u>
d.) OPWC Funds		
1. Grant	\$ <u>320,000</u> .00	<u>80</u>
2. Loan	\$ _____ .00	
3. Loan Assistance	\$ _____ .00	
SUBTOTAL OPWC RESOURCES:	\$ <u>320,000</u> .00	<u>80</u>
TOTAL FINANCIAL RESOURCES:	\$ <u>400,000</u> .00	<u>100%</u>

**1.3 AVAILABILITY OF LOCAL FUNDS:**

Attach a statement signed by the Chief Financial Officer listed in section 5.2 certifying all local share funds required for the project will be available on or before the earliest date listed in the Project Schedule section.

ODOT PID# \_\_\_\_\_ Sale Date: \_\_\_\_\_  
STATUS: (Check one)  
    Traditional  
    Local Planning Agency (LPA)  
    State Infrastructure Bank

**2.0 PROJECT INFORMATION**

If project is multi-jurisdictional, information must be consolidated in this section.

**2.1 PROJECT NAME:** Elizabeth Street Reconstruction Phase II

**2.2 BRIEF PROJECT DESCRIPTION - (Sections A through C):**

**A: SPECIFIC LOCATION:**

The project is located in the City of Mt. Healthy and consists of Elizabeth Avenue from Compton Road to Adams Road. Please see attached location map.

**PROJECT ZIP CODE: 45231**

**B: PROJECT COMPONENTS:**

- 1.) Remove the existing pavement to subgrade
- 2.) Remove existing Storm Sewer
- 3.) Widen Road to 28 feet
- 4.) Install new Storm Sewer
- 5.) Install new curbs
- 6.) Reconstruct with new pavement
- 7.) Install sidewalks.

**C: PHYSICAL DIMENSIONS / CHARACTERISTICS:**

The length of the proposed project is 1,800 LF. The width of the existing roadway is 21'-26'. The pavement must be widened to 28 feet to accommodate two way traffic and parking.

**D: DESIGN SERVICE CAPACITY:**

Detail current service capacity vs. proposed service level.

Road or Bridge: Current ADT 1800 Year: 2000 Projected ADT: same Year:

Water/Wastewater: Based on monthly usage of 7,756 gallons per household, attach current rate ordinance. Current Residential Rate: \$ \_\_\_\_\_ Proposed Rate: \$ \_\_\_\_\_

Stormwater: Number of households served:

**2.3 USEFUL LIFE / COST ESTIMATE:** Project Useful Life: 30 Years.

Attach Registered Professional Engineer's statement, with original seal and signature confirming the project's useful life indicated above and estimated cost.

### 3.0 REPAIR/REPLACEMENT or NEW/EXPANSION:

TOTAL PORTION OF PROJECT REPAIR/REPLACEMENT \$400,000.00

TOTAL PORTION OF PROJECT NEW/EXPANSION \$           .00

### 4.0 PROJECT SCHEDULE: \*

	BEGIN DATE	END DATE
4.1 Engineering/Design:	<u>06 / 01 /03</u>	<u>03 /31 /05</u>
4.2 Bid Advertisement and Award:	<u>06 /01 /05</u>	<u>07/01 /05</u>
4.3 Construction:	<u>07/02 /05</u>	<u>12 /01 /06</u>
4.4 Right-of-Way/Land Acquisition:	<u>NA / /</u>	<u>/ /</u>

\* Failure to meet project schedule may result in termination of agreement for approved projects. Modification of dates must be requested in writing by the CEO of record and approved by the commission once the Project Agreement has been executed. The project schedule should be planned around receiving a Project Agreement on or about July 1st.

### 5.0 APPLICANT INFORMATION:

#### 5.1 CHIEF EXECUTIVE

OFFICER	George Rouse
TITLE	Mayor
STREET	7700 Perry Street
CITY/ZIP	Mt. Healthy, Ohio 45231
PHONE	513-931-8840
FAX	513-931-1791
E-MAIL	

#### 5.2 CHIEF FINANCIAL

OFFICER	Jill Claire
TITLE	Auditor
STREET	7700 Perry Street
CITY/ZIP	Mt. Healthy, Ohio 45231
PHONE	513-931-8840
FAX	513-931-1791
E-MAIL	

#### 5.3 PROJECT MANAGER

TITLE	William R. McCormick
STREET	Project Manager
CITY/ZIP	2021 Auburn Avenue
PHONE	Cincinnati, Ohio 45219
FAX	513-721-5500
E-MAIL	513-721-0607

**Changes in Project Officials must be submitted in writing from the CEO**

## 6.0 ATTACHMENTS/COMPLETENESS REVIEW:

Confirm in the blocks [ ] below that each item listed is attached.

- [X] A certified copy of the legislation by the governing body of the applicant authorizing a designated official to sign and submit this application and execute contracts. This individual should sign under 7.0, Applicant Certification, below.
- [X] A certification signed by the applicant's chief financial officer stating all local share funds required for the project will be available on or before the dates listed in the Project Schedule section. If the application involves a request for loan (RLP or SCIP), a certification signed by the CFO which identifies a specific revenue source for repaying the loan also must be attached. Both certifications can be accomplished in the same letter.
- [X] A registered professional engineer's detailed cost estimate and useful life statement, as required in 164-1-13, 164-1-14, and 164-1-16 of the Ohio Administrative Code. Estimates shall contain an engineer's original seal or stamp and signature.
- [NA] A cooperation agreement (if the project involves more than one subdivision or district) which identifies the fiscal and administrative responsibilities of each participant.
- [ ] Projects which include new and expansion components and potentially affect productive farmland should include a statement evaluating the potential impact. If there is a potential impact, the Governor's Executive Order 98-VII and the OPWC Farmland Preservation Review Advisory apply.
- [X] Capital Improvements Report: (Required by O.R.C. Chapter 164.06 on standard form)
- [X] Supporting Documentation: Materials such as additional project description, photographs, economic impact (temporary and/or full time jobs likely to be created as a result of the project), accident reports, impact on school zones, and other information to assist your district committee in ranking your project. Be sure to include supplements which may be required by your *local* District Public Works Integrating Committee.

## 7.0 APPLICANT CERTIFICATION:

The undersigned certifies that: (1) he/she is legally authorized to request and accept financial assistance from the Ohio Public Works Commission; (2) to the best of his/her knowledge and belief, all representations that are part of this application are true and correct; (3) all official documents and commitments of the applicant that are part of this application have been duly authorized by the governing body of the applicant; and, (4) should the requested financial assistance be provided, that in the execution of this project, the applicant will comply with all assurances required by Ohio Law, including those involving Buy Ohio and prevailing wages.

Applicant certifies that physical construction on the project as defined in the application has NOT begun, and will not begin until a Project Agreement on this project has been executed with the Ohio Public Works Commission. Action to the contrary will result in termination of the agreement and withdrawal of Ohio Public Works Commission funding of the project.

William A Koehler  
Certifying Representative (Type or Print Name and Title)

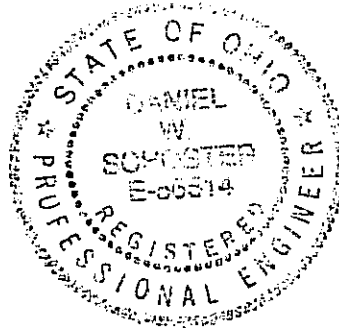
William A Koehler 9-16-04  
Signature/Date Signed

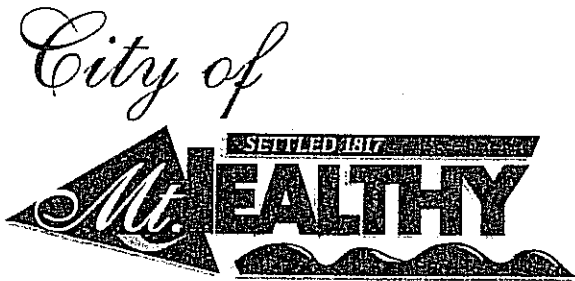
Elizabeth Street Phase II  
Engineer's Estimate

DESCRIPTION	UNIT	EST. QUANTITY	UNIT PRICE	TOTAL AMOUNT
Remove Ex. Pavement	SY	5,600	6.00	33,600.00
Undercut, Remove & Replace	CY	500	40.00	20,000.00
Curb, Type 6	LF	3,600	12.00	43,200.00
Remove & Replace Drive Aprons	SY	800	35.00	28,000.00
Catch Basin, CB-3	EA	14	2,000.00	28,000.00
Storm Manhole, Type 3	EA	8	1,800.00	14,400.00
12" RCP	LF	1,000	50.00	50,000.00
18" RCP	LF	600	60.00	36,000.00
ODOT 304 Stone	CY	1,000	35.00	35,000.00
ODOT 301 Asphalt Base	CY	600	85.00	51,000.00
ODOT 404 Asphalt Surface	CY	300	95.00	28,500.00
Seeding & Mulching	SY	4,000	2.00	8,000.00
Maintain Traffic	LS	1	9,300.00	9,300.00
Construction Layout	LS	1	15,000.00	15,000.00
		Total Est. Cost		\$400,000.00

*I hereby certify this to be an accurate estimate of the proposed project.  
The useful life of this project is 30 years.*

  
DANIEL W. SCHOSTER, P.E.





7700 Perry Street Mt. Healthy, Ohio 45231

### STATUS OF CERTIFICATION

The City of Mt. Healthy will utilize approximately \$80,000 from its (CIP) fund as its participation for the Elizabeth Street Reconstruction Phase II Project.

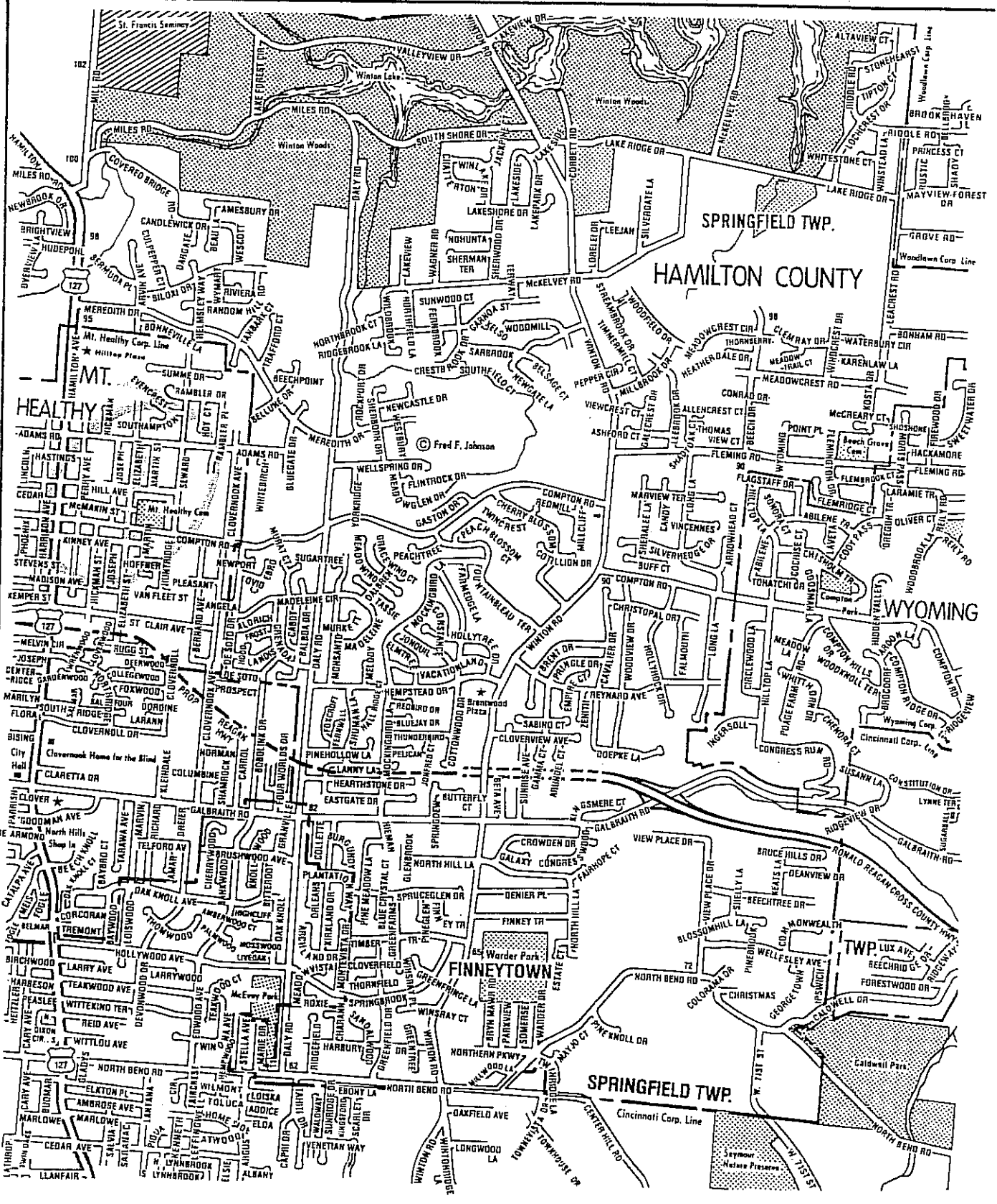
Jill Chaire, Auditor  
City of Mt. Healthy



*Eligible*

E  
F  
H  
J  
K

24



REQUESTED BY: Bill Kocher, Safety Service Director

DATE OF FIRST READING: 21 September 2004  
WAIVE RULES? \_\_\_\_\_ YES \_\_\_\_\_ NO

FINAL ACTION DATE: 28 September 2004

VOTE: 5 YES 0 NO  
MR

SUSPENSION OF THREE  
READING RULE: \_\_\_\_\_

ADOPTION OF  
RESOLUTION: \_\_\_\_\_

YES NO

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

KEN COOK  
TONY LOMBARDO  
DENISE LINGO  
BILL DEBRULER  
JOE ROETTING  
MARY ANN REUSCH  
DENNIS CUSTER

YES NO

✓ \_\_\_\_\_

✓ \_\_\_\_\_

MR absent

✓ \_\_\_\_\_

absent

✓ \_\_\_\_\_

✓ \_\_\_\_\_

TOTALS

5 0  
MR 1

RESOLUTION NO. 04-930

A RESOLUTION AUTHORIZING THE SAFETY SERVICE DIRECTOR TO MAKE APPLICATION FOR FISCAL YEAR 2005 STATE CAPITAL IMPROVEMENT PROGRAM FUNDS AND IF FUNDS ARE AWARDED TO EXECUTE GRANT AGREEMENTS ON BEHALF OF THE CITY.

WHEREAS: the Council of the City of Mt. Healthy has determined that it would be in the best interest and to promote the general welfare of the community to apply for 2005 State Capital Improvement Program Funds and if funds are awarded to execute a grant agreement or agreements on behalf of the City:

NOW THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY MT. HEALTHY, STATE OF OHIO:

Section 1. That the Safety Service Director is hereby authorized to make application for State Capital Improvement Program (SCIP) funds for fiscal year 2005.

Section 2. That if funds are awarded, the Safety Service Director is hereby authorized to execute a grant agreement or agreements on behalf of the City.

Section 3. That this resolution shall be in full force and effect from and after the first date permitted by law.

Passed the 28 day of September, 2004.

Lynne L. Harrison  
President of Council

Attest:

Margaret A. Boulton  
Clerk of Council

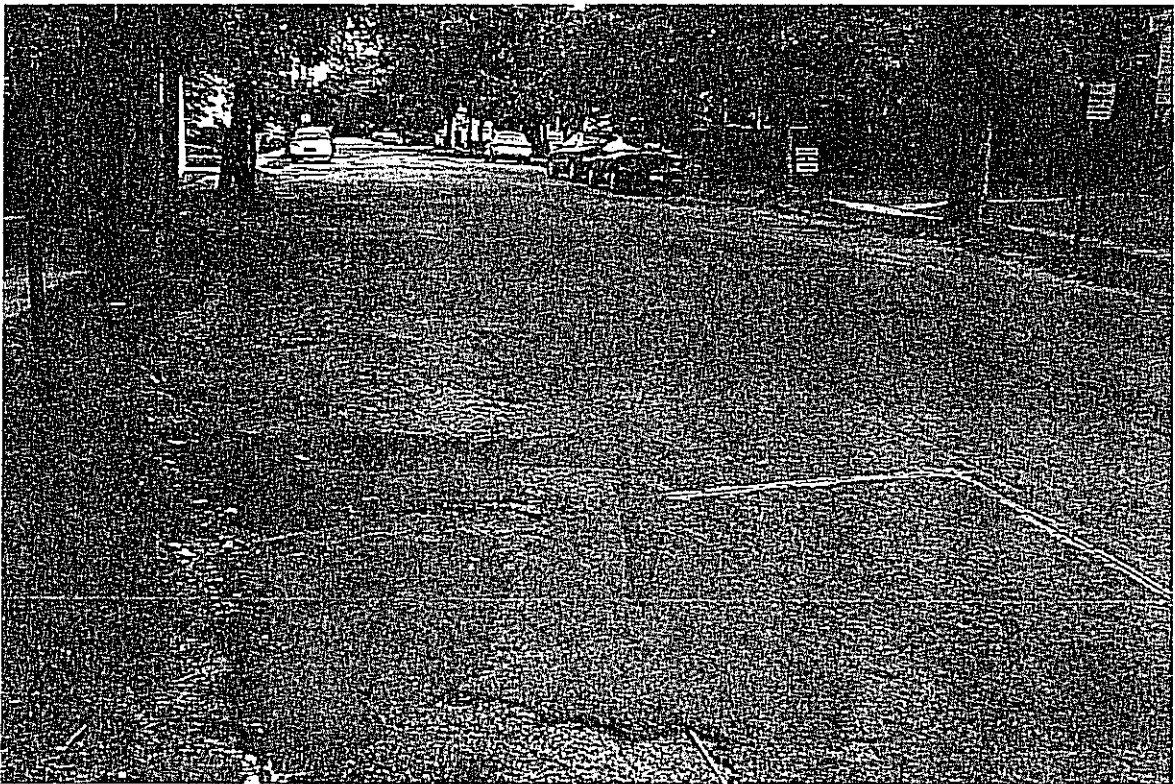
Approved this 28 day of September, 2004.

George W. Rouen  
Mayor

Approved as to form: James G. Webb  
Law Director



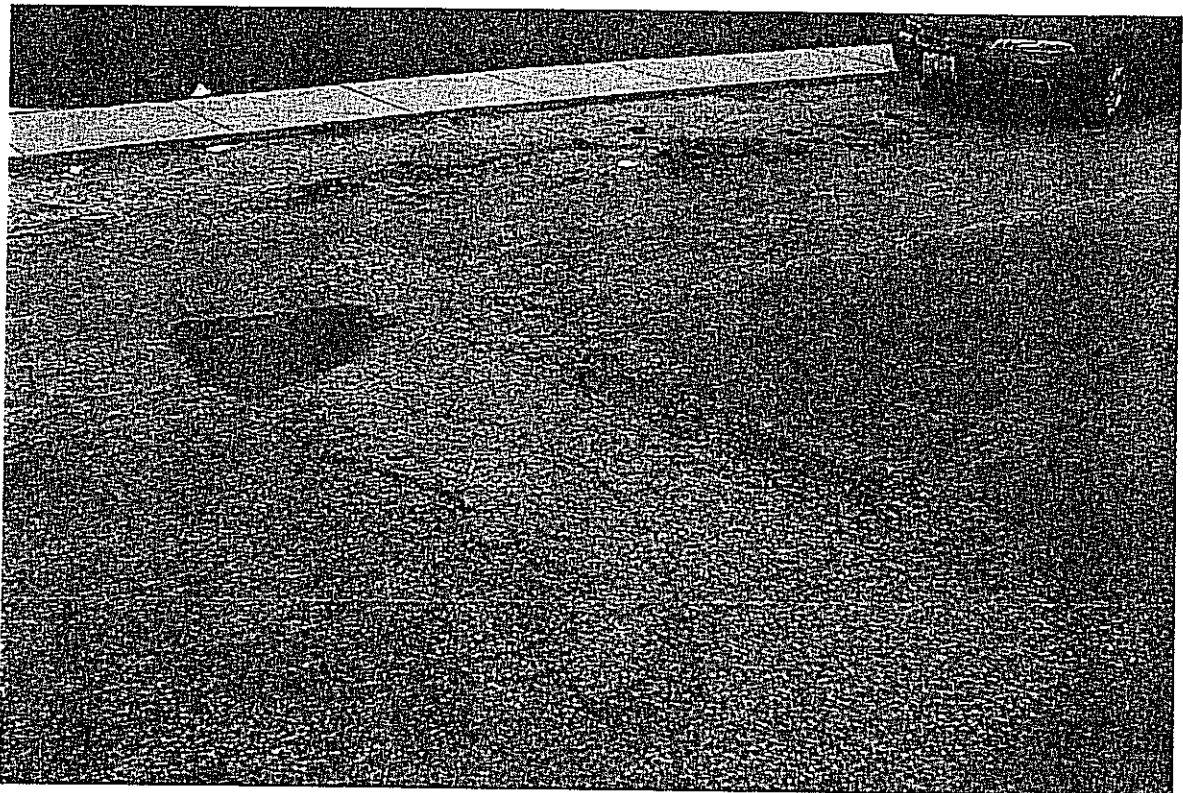
Elizabeth Street



Elizabeth Street

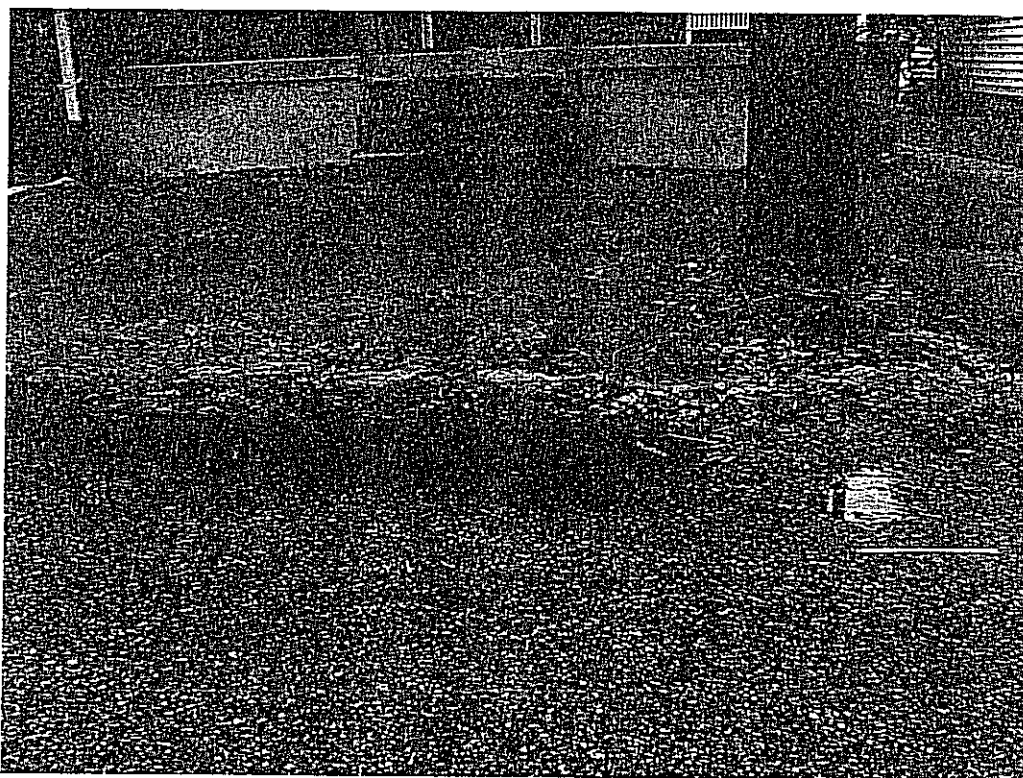


Elizabth Street

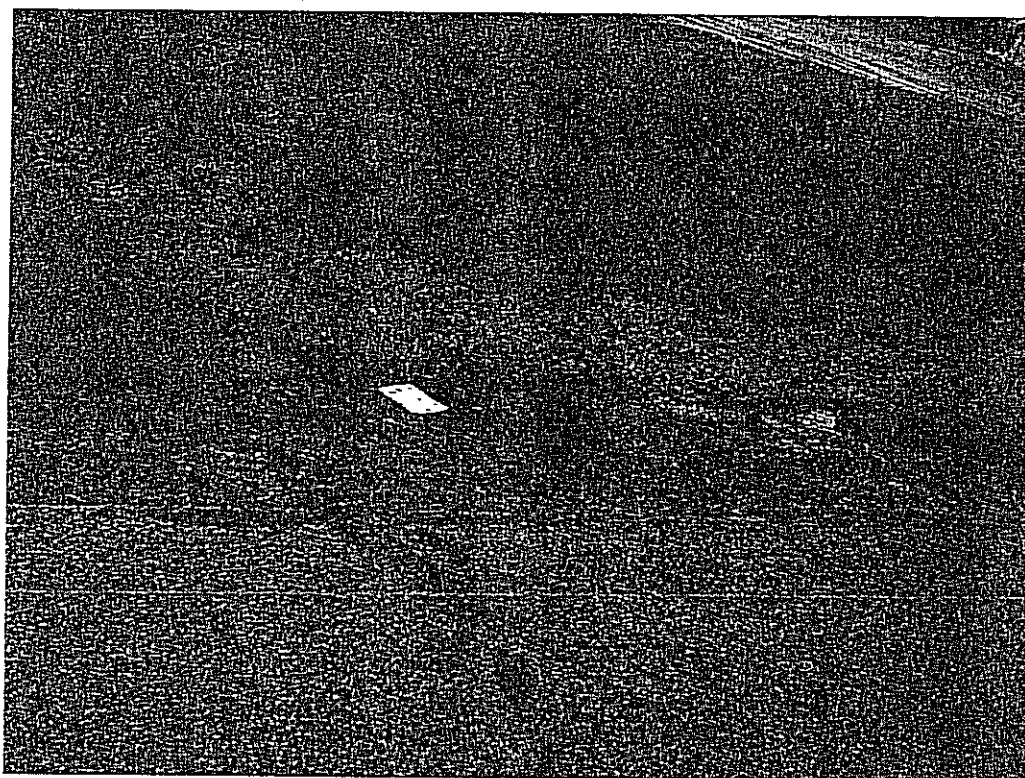


Elizabth Street





Elizbeth St.



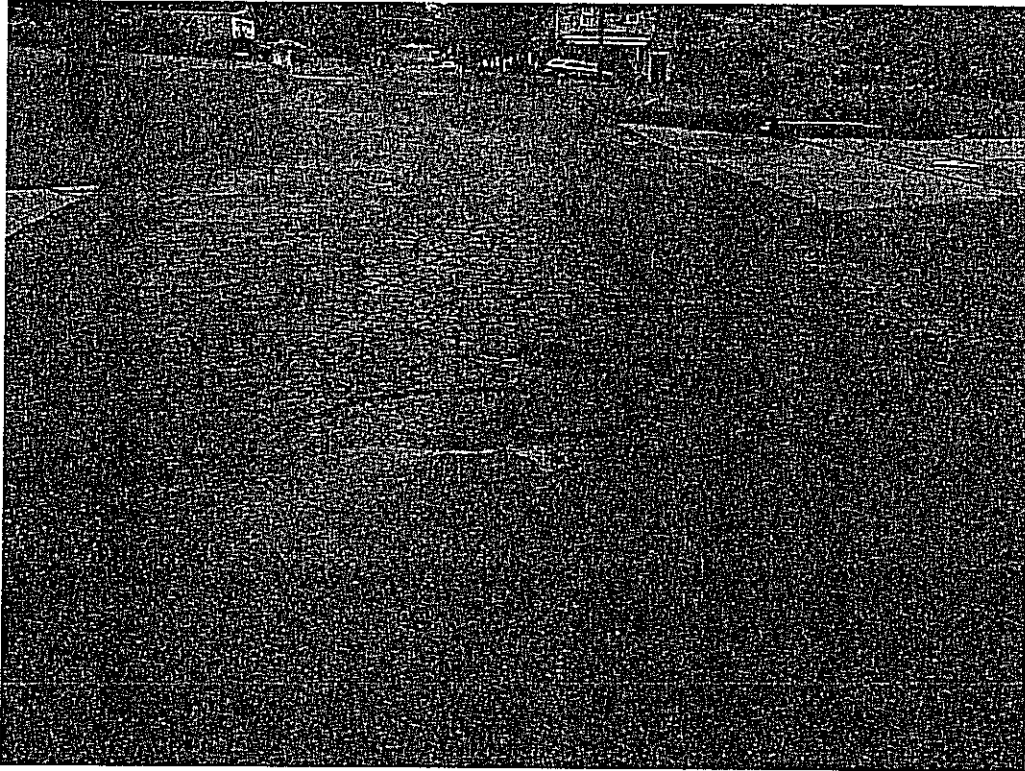
Elizbeth St.



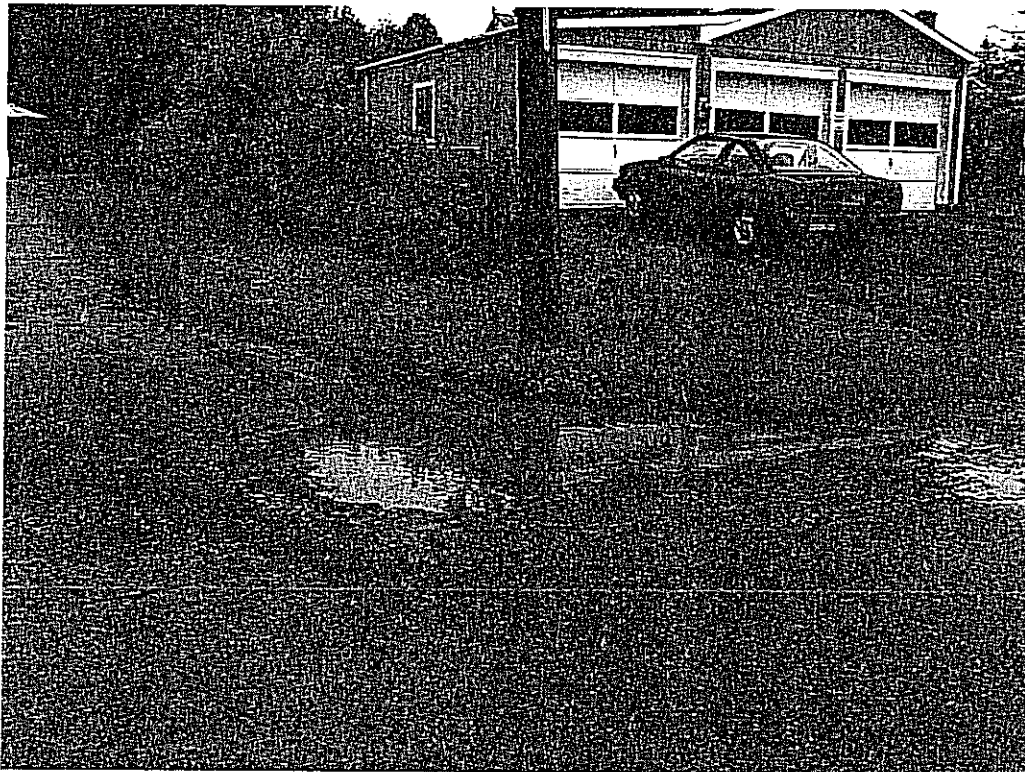
Elizabeth S.



Elizabeth S.



Elizabeth St.



Elizabeth St.



## ADDITIONAL SUPPORT INFORMATION

For Program Year 2005 (July 1, 2005 through June 30, 2006), jurisdictions shall provide the following support information to help determine which projects will be funded. Information on this form must be accurate, and where called for, based on sound engineering principles. Documentation to substantiate the individual items, as noted, is required. The applicant should also use the rating system and its' addendum as a guide. The examples listed in this addendum are not a complete list, but only a small sampling of situations that may be relevant to a given project.

**IF YOU ARE APPLYING FOR A GRANT, WILL YOU BE WILLING TO ACCEPT A LOAN IF ASKED BY THE DISTRICT? \_\_\_\_\_ YES   X   NO (ANSWER REQUIRED)**

Note: Answering "Yes" will not increase your score and answering "NO" will not decrease your score.

**1) What is the physical condition of the existing infrastructure that is to be replaced or repaired?**

Give a statement of the nature of the deficient conditions of the present facility exclusive of capacity, serviceability, health and/or safety issues. If known, give the approximate age of the infrastructure to be replaced, repaired, or expanded. Use documentation (if possible) to support your statement. Documentation may include (but is not limited to): ODOT BR86 reports, pavement management condition reports, televised underground system reports, age inventory reports, maintenance records, etc., and will only be considered if included in the original application. Examples of deficiencies include: structural condition; substandard design elements such as widths, grades, curves, sight distances, drainage structures, etc.

The existing facility has numerous pavement and base failures. The surface is extremely rough and has numerous potholes. A complete reconstruction is needed to adequately address the base failures that have occurred. Curb, where applicable, is deteriorating, and the drainage system is inadequate. Localized flooding occurs due to the lack of storm catch basins and curb.

**2) How important is the project to the safety of the Public and the citizens of the District and/or service area?**

Give a statement of the projects effect on the safety of the service area. The design of the project is intended to reduce existing accident rate, promote safer conditions, and reduce the danger of risk, liability or injury. (Typical examples may include the effects of the completed project on accident rates, emergency response time, fire protection, and highway capacity.) Please be specific and provide documentation if necessary to substantiate the data. The applicant must demonstrate the type of problems that exist, the frequency and severity of the problems and the method of correction.

The roadway is deteriorating, and causing motorists to swerve to avoid the potholes and cracks in the roadway. The width of the roadway is substandard, and does not allow the safe passage of oncoming vehicles. The reconstruction will provide a smooth and widened roadway, and reduce the risk of risk, liability, and injury. Water ponds on the roadway causing icing situations in the winter months. The addition of additional catch basins and curbs will direct water into a storm system off of the pavement, thus eliminating ponding.

3) **How important is the project to the health of the Public and the citizens of the District and/or service area?**

Give a statement of the projects effect on the health of the service area. The design of the project will improve the overall condition of the facility so as to reduce or eliminate potential for disease, or correct concerns regarding the environmental health of the area. (Typical examples may include the effects of the completed project by improving or adding storm drainage or sanitary facilities, replacing lead jointed water lines, etc.). Please be specific and provide documentation if necessary to substantiate the data. The applicant must demonstrate the type of problems that exist, the frequency and severity of the problems and the method of correction.

There is a serious problem with standing water after rainfall, which stands for hours, allowing for the breeding of mosquitoes. Water flows over broken curbs and sidewalk, into residents' yards and basements (see attached pictures). Thus, by installing full 6" curb and storm sewers, the project will improve the health of the citizens by eliminating the problems of standing water and localized flooding.

4) **Does the project help meet the infrastructure repair and replacement needs of the applying jurisdiction?**

The jurisdiction must submit a listing in priority order of the projects for which it is applying. Points will be awarded on the basis of most to least importance.

Priority 1 Elizabeth Street Reconstruction Phase II  
Priority 2 \_\_\_\_\_  
Priority 3 \_\_\_\_\_  
Priority 4 \_\_\_\_\_  
Priority 5 \_\_\_\_\_

5) **Will the completed project generate user fees or assessments?**

Will the local jurisdiction assess fees or project costs for the usage of the facility or its products once the project is completed (example: rates for water or sewer, frontage assessments, etc.).

No X Yes \_\_\_\_\_ If yes, what user fees and/or assessments will be utilized?

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**6) Economic Growth -- How will the completed project enhance economic growth**

Give a statement of the projects effect on the economic growth of the service area (be specific).

The project will not have a significant impact on economic growth

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**7) Matching Funds - LOCAL**

The information regarding local matching funds is to be filed by the applicant in Section 1.2 (b) of the Ohio Public Works Association's "Application For Financial Assistance" form.

**8) Matching Funds - OTHER**

The information regarding local matching funds is to be filed by the applicant in Section 1.2 (c) of the Ohio Public Works Association's "Application For Financial Assistance" form. If MRF funds are being used for matching funds, the MRF application must have been filed by August 31st of this year for this project with the Hamilton County Engineer's Office. List below all "other" funding the source(s).

Local funding is utilized for matching funds for this project.

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**9) Will the project alleviate serious traffic problems or hazards or respond to the future level of service needs of the district?**

Describe how the proposed project will alleviate serious traffic problems or hazards (be specific).

Motorists are currently veering over the center section of the street in order to avoid large potholes in the street. The project will reconstruct the roadway, eliminating this dangerous action, and also widen the roadway to provide a safer facility.

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For roadway betterment projects, provide the existing and proposed Level of Service (LOS) of the facility using the methodology outlined within AASHTO'S "Geometric Design of Highways and Streets" and the 1985 Highway Capacity Manual.

Existing LOS \_\_\_\_\_ Proposed LOS \_\_\_\_\_

If the proposed design year LOS is not "C" or better, explain why LOS "C" cannot be achieved.

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**10) If SCIP/LTIP funds were granted, when would the construction contract be awarded?**

If SCIP/LTIP funds are awarded, how soon after receiving the Project Agreement from OPWC (tentatively set for July 1 of the year following the deadline for applications) would the project be under contract? The Support Staff will review status reports of previous projects to help judge the accuracy of a jurisdiction's anticipated project schedule.

Number of months 2

a.) Are preliminary plans or engineering completed? Yes X No \_\_\_\_\_ N/A \_\_\_\_\_

b.) Are detailed construction plans completed? Yes \_\_\_\_\_ No X N/A \_\_\_\_\_

c.) Are all utility coordination's completed? Yes \_\_\_\_\_ No X N/A \_\_\_\_\_

d.) Are all right-of-way and easements acquired (if applicable)?

Yes \_\_\_\_\_ No \_\_\_\_\_ N/A X  
If no, how many parcels needed for project? \_\_\_\_\_ Of these, how many are: Takes \_\_\_\_\_  
Temporary \_\_\_\_\_  
Permanent \_\_\_\_\_

For any parcels not yet acquired, explain the status of the ROW acquisition process for this project.

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e.) Give an estimate of time needed to complete any item above not yet completed. 6 Months.

**11) Does the infrastructure have regional impact?**

Give a brief statement concerning the regional significance of the infrastructure to be replaced, repaired, or expanded.

This project will directly affect the residents of Mt. Healthy and surrounding communities.

The corner of Compton Road and Elizabeth is a METRO bus stop.

**12) What is the overall economic health of the jurisdiction?**

The District 2 Integrating Committee predetermines the jurisdiction's economic health. The economic health of a jurisdiction may periodically be adjusted when census and other budgetary data are updated.

**13) Has any formal action by a federal, state, or local government agency resulted in a partial or complete ban of the usage or expansion of the usage for the involved infrastructure?**

Describe what formal action has been taken which resulted in a ban of the use of or expansion of use for the involved infrastructure? Typical examples include weight limits, truck restrictions, and moratoriums or limitations on issuance of building permits, etc. The ban must have been caused by a structural or

operational problem to be considered valid. Submission of a copy of the approved legislation would be helpful.

No ban

Will the ban be removed after the project is completed? Yes \_\_\_ No \_\_\_ N/A X

**14) What is the total number of existing daily users that will benefit as a result of the proposed project?**

For roads and bridges, multiply current Average Daily Traffic (ADT) by 1.20. For inclusion of public transit, submit documentation substantiating the count. Where the facility currently has any restrictions or is partially closed, use documented traffic counts prior to the restriction. For storm sewers, sanitary sewers, water lines, and other related facilities, multiply the number of households in the service area by 4. User information must be documented and certified by a professional engineer or the jurisdictions' C.E.O.

Traffic: ADT 1800 X 1.20 = 2160 Users

Water/Sewer: Homes \_\_\_\_\_ X 4.00 = \_\_\_\_\_ Users

**15) Has the jurisdiction enacted the optional \$5 license plate fee, an infrastructure levy, a user fee, or dedicated tax for the pertinent infrastructure?**

The applying jurisdiction shall list what type of fees, levies or taxes they have dedicated toward the type of infrastructure being applied for. (Check all that apply)

Optional \$5.00 License Tax ves

Infrastructure Levy ves Specify type Roadway Levv

Facility Users Fee \_\_\_\_\_ Specify type \_\_\_\_\_

Dedicated Tax \_\_\_\_\_ Specify type \_\_\_\_\_

Other Fee, Levy or Tax \_\_\_\_\_ Specify type \_\_\_\_\_

**SCIP/LTIP PROGRAM  
ROUND 19 - PROGRAM YEAR 2005  
PROJECT SELECTION CRITERIA  
JULY 1, 2005 TO JUNE 30, 2006**

NAME OF APPLICANT: CITY OF MT. HEALTHY

NAME OF PROJECT: ELIZABETH STREET RECONSTRUCTION PHASE II

RATING TEAM: 3

NOTE: See the attached "Addendum To The Rating System" for definitions, explanations and clarifications to each of the criterion points of this rating system. All changes to the Rating System are italicized.

**CIRCLE THE APPROPRIATE RATING**

- 1) What is the physical condition of the existing infrastructure that is to be replaced or repaired?

25 - Failed  
23 - Critical ←  
20 - Very Poor  
17 - Poor  
15 - Moderately Poor  
10 - Moderately Fair  
5 - Fair Condition  
0 - Good or Better

Appeal Score  
\_\_\_\_\_

- 2) How important is the project to the safety of the Public and the citizens of the District and/or service area?

25 - Highly significant importance  
20 - Considerably significant importance  
15 - Moderate importance  
10 - Minimal importance  
5 - Poorly documented importance  
0 - No measurable impact ←

Appeal Score  
\_\_\_\_\_

- 3) How important is the project to the health of the Public and the citizens of the District and/or service area?

25 - Highly significant importance  
20 - Considerably significant importance  
15 - Moderate importance  
10 - Minimal importance  
5 - Poorly documented importance  
0 - No measurable impact ←

Appeal Score  
\_\_\_\_\_

- 4) Does the project help meet the infrastructure repair and replacement needs of the applying jurisdiction?  
Note: Jurisdiction's priority listing (part of the Additional Support Information) must be filed with application(s).

25 - First priority project ←  
20 - Second priority project  
15 - Third priority project  
10 - Fourth priority project  
5 - Fifth priority project or lower

Appeal Score  
\_\_\_\_\_

5) Will the completed project generate user fees or assessments?

Appeal Score

- ☒ 10 - No  $\leftarrow$   
0 - Yes

6) Economic Growth – How the completed project will enhance economic growth (See definitions).

10 – The project will directly secure new employment

Appeal Score

5 – The project will permit more development

☒ 0 – The project will not impact development  $\leftarrow$

7) Matching Funds - LOCAL

10 - This project is a loan or credit enhancement

10 – 50% or higher

8 – 40% to 49.99%

6 – 30% to 39.99%

☒ 4 – 20% to 29.99%  $\leftarrow$

2 – 10% to 19.99%

0 – Less than 10%

8) Matching Funds - OTHER

10 – 50% or higher

8 – 40% to 49.99%

6 – 30% to 39.99%

4 – 20% to 29.99%

2 – 10% to 19.99%

1 – 1% to 9.99%

☒ 0 – Less than 1%  $\leftarrow$

9) Will the project alleviate serious capacity problems or hazards or respond to the future level of service needs of the district?  
(See Addendum for definitions)

10 - Project design is for future demand.

Appeal Score

8 - Project design is for partial future demand.

6 - Project design is for current demand.

☒ 4 – Project design is for minimal increase in capacity.  $\leftarrow$

2 - Project design is for no increase in capacity.

10) Ability to Proceed - If SCIP/LTIP funds are granted, when would the construction contract be awarded? (See Addendum concerning delinquent projects)

☒ 5 – Will be under contract by December 31, 2005 and no delinquent projects in Rounds 16 & 17  $\leftarrow$

3 - Will be under contract by March 31, 2006 and/or one delinquent project in Rounds 16 & 17

0 - Will not be under contract by March 31, 2006 and/or more than one delinquent project in Rounds 16 & 17

11) Does the infrastructure have regional impact? Consider origination and destination of traffic, functional classifications, size of service area, and number of jurisdictions served, etc. (See Addendum for definitions)

10 – Major Impact

Appeal Score

8 – Significant Impact

6 – Moderate Impact

4 – Minor Impact  $\leftarrow$

☒ 2 – Minimal or No Impact

12) What is the overall economic health of the jurisdiction?

☒ 10 Points ←

8 Points

6 Points

4 Points

2 Points

13) Has any formal action by a federal, state, or local government agency resulted in a partial or complete ban of the usage or expansion of the usage for the involved infrastructure?

10 - Complete ban, facility closed

Appeal Score

8 - 80% reduction in legal load or 4-wheeled vehicles only

7 - Moratorium on future development, *not* functioning for current demand

6 - 60% reduction in legal load

5 - Moratorium on future development, functioning for current demand

4 - 40% reduction in legal load

2 - 20% reduction in legal load

☒ 0 - Less than 20% reduction in legal load ←

14) What is the total number of existing daily users that will benefit as a result of the proposed project?

10 - 16,000 or more

Appeal Score

8 - 12,000 to 15,999

6 - 8,000 to 11,999

4 - 4,000 to 7,999

☒ 2 - 3,999 and under ~

15) Has the jurisdiction enacted the optional \$5 license plate fee, an infrastructure levy, a user fee, or dedicated tax for the pertinent infrastructure? *(Provide documentation of which fees have been enacted.)*

☒ 5 - Two or more of the above ←

Appeal Score

3 - One of the above

0 - None of the above



# ADDENDUM TO THE RATING SYSTEM

## **General Statement for Rating Criteria**

Points awarded for all items will be based on engineering experience, field verification, application information and other information supplied by the applicant, which is deemed to be relevant by the Support Staff. The examples listed in this addendum are not a complete list, but only a small sampling of situations that may be relevant to a given project.

## **Criterion 1 - Condition**

Condition is based on the amount of deterioration that is field verified or documented exclusive of capacity, serviceability, health and/or safety issues. Condition is rated only on the facility being repaired or abandoned. (Documentation may include: ODOT BR86 reports, pavement management condition reports, televised underground system reports, age inventory reports, maintenance records, etc., and will only be considered if included in the original application.)

### **Definitions:**

**Failed Condition** - requires complete reconstruction where no part of the existing facility is salvageable. (E.g. Roads: complete reconstruction of roadway, curbs and base; Bridges: complete removal and replacement of bridge; Underground: removal and replacement of an underground drainage or water system.)

**Critical Condition** - requires moderate or partial reconstruction to maintain integrity. (E.g. Roads: reconstruction of roadway/curbs can be saved; Bridges: removal and replacement of bridge with abutment modification; Underground: removal and replacement of part of an underground drainage or water system.)

**Very Poor Condition** - requires extensive rehabilitation to maintain integrity. (E.g. Roads: extensive full depth, partial depth and curb repair of a roadway with a structural overlay; Bridges: superstructure replacement; Underground: repair of joints and/or minor replacement of pipe sections.)

**Poor Condition** - requires standard rehabilitation to maintain integrity. (E.g. Roads: moderate full depth, partial depth and curb repair to a roadway with no structural overlay needed or structural overlay with minor repairs to a roadway needed; Bridges: extensive patching of substructure and replacement of deck; Underground: insituform or other in ground repairs.)

**Moderately Poor Condition** - requires minor rehabilitation to maintain integrity. (E.g. Roads: minor full depth, partial depth or curb repairs to a roadway with either a thin overlay or no overlay needed; Bridges: major structural patching and/or major deck repair.)

**Moderately Fair Condition** - requires extensive maintenance to maintain integrity. (E.g. Roads: thin or no overlay with extensive crack sealing, minor partial depth and/or slurry or rejuvenation; Bridges: minor structural patching, deck repair, erosion control.)

**Fair Condition** - requires routine maintenance to maintain integrity. (E.g. Roads: slurry seal, rejuvenation or routine crack sealing to the roadway; Bridges: minor structural patching.)

**Good or Better Condition** - little to no maintenance required to maintain integrity.

**Note:** If the infrastructure is in "good" or better condition, it will NOT be considered for SCIP/LTIP funding unless it is an expansion project that will improve serviceability.

## **Criterion 2 – Safety**

The jurisdiction shall include in its application the type, frequency, and severity of the safety problem that currently exists and how the intended project would improve the situation. For example, have there been vehicular accidents attributable to the problems cited? Have they involved injuries or fatalities? In the case of water systems, are existing hydrants non-functional? In the case of water lines, is the present capacity inadequate to provide volumes or pressure for adequate fire protection? **In all cases, specific documentation is required.** Mentioned problems, which are poorly documented, shall not receive more than 5 points.

**Note:** Each project is looked at on an individual basis to determine if any aspects of this category apply. Examples given above are NOT intended to be exclusive.

## **Criterion 3 – Health**

The jurisdiction shall include in its application the type, frequency, and severity of the health problem that would be eliminated or reduced by the intended project. For example, can the problem be eliminated only by the project, or would routine maintenance be satisfactory? If basement flooding has occurred, was it storm water or sanitary flow? What complaints if any are recorded? In the case of underground improvements, how will they improve health if they are storm sewers? How would improved sanitary sewers improve health or reduce health risk? Are leaded joints involved in existing water line replacements? **In all cases, specific documentation is required.** Mentioned problems, which are poorly documented, shall not receive more than 5 points.

**Note:** Each project is looked at on an individual basis to determine if any aspects of this category apply. Examples given above are NOT intended to be exclusive.

## Criterion 4 – Jurisdiction’s Priority Listing

The jurisdiction must submit a listing in priority order of the projects for which it is applying. Points will be awarded on the basis of most to least importance. The form is included in the Additional Support Information.

## Criterion 5 – Generate Fees

Will the local jurisdiction assess fees or project costs for the usage of the facility or its products once the project is completed (example: rates for water or sewer, frontage assessments, etc.). The applying jurisdiction must submit documentation.

## Criterion 6 – Economic Growth

Will the completed project enhance economic growth and/or development in the service area?

### Definitions:

Secure new employment: The project is specifically designed to secure development/employers, which will immediately add new permanent employees to the jurisdiction. The applying agency must submit details.

Permit more development: The project is designed to permit additional business development. The applicant must supply details.

The project will not impact development: The project will have no impact on business development.

Note: Each project is looked at on an individual basis to determine if any aspects of this category apply.

## Criterion 7 – Matching Funds - Local

The percentage of matching funds which come directly from the budget of the applying local government.

## Criterion 8 – Matching Funds - Other

The percentage of matching funds that come from funding sources other than those mentioned in Criterion 7.

## Criterion 9 – Alleviate Capacity Problems

The jurisdiction shall provide a narrative, along with pertinent support documentation, which describe the existing deficiencies and showing how congestion will be reduced or eliminated and how service will be improved to meet the needs of any expected growth or development. A formal capacity analysis accompanying the application would be beneficial. Projected traffic or demand should be calculated as follows:

### Formula:

Existing users x design year factor = projected users

Design Year	Design year factor		
	Urban	Suburban	Rural
20	1.40	1.70	1.60
10	1.20	1.35	1.30

### Definitions:

Future demand – Project will eliminate existing congestion or deficiencies and will provide sufficient capacity or service for twenty-year projected demand or fully developed area conditions. Justification must be supplied if the area is already largely developed or undevelopable and thus the projection factors used deviate from the above table.

Partial future demand – Project will eliminate existing congestion or deficiencies and will provide sufficient capacity or service for ten-year projected demand or partially developed area conditions. Justification must be supplied if the area is already largely developed or undevelopable and thus the projection factors used deviate from the above table.

Current demand – Project will eliminate existing congestion or deficiencies and will provide sufficient capacity or service only for existing demand and conditions.

Minimal increase – Project will reduce but not eliminate existing congestion or deficiencies and will provide a minimal but less than sufficient increase in existing capacity or service for existing demand and conditions.

No increase – Project will have no effect on existing congestion or deficiencies and provide no increase in capacity or service for existing demand and conditions.

## Criterion 10 - Ability to Proceed

The Support Staff will assign points based on engineering experience and status of design plans as demonstrated by the applying jurisdiction and OPWC defined delinquent projects. A project is considered delinquent when it has not received a notice to proceed within the time stated on the original application and no time extension has been granted by the OPWC. A jurisdiction receiving approval for a project and subsequently canceling the same after the bid date on the application may be considered as having a delinquent project.

## **Criterion 11 - Regional Impact**

The regional significance of the infrastructure that is being repaired or replaced.

### **Definitions:**

**Major Impact – Roads: Major Arterial:** A direct connector to an Interstate Highway; Arterials are intended to provide a greater degree of mobility rather than land access. Arterials generally convey large traffic volumes for distances greater than one mile. A major arterial is a highway that is of regional importance and is intended to serve beyond the county. It may connect urban centers with one another and/or with outlying communities and employment or shopping centers. A major arterial is intended primarily to serve through traffic.

**Significant Impact – Roads: Minor Arterial:** A roadway, also serving through traffic, that is similar in function to a major arterial, but operates with lower traffic volumes, serves trips of shorter distances (but still greater than one mile), and may provide a higher degree of property access than do major arterials.

**Moderate Impact – Roads: Major Collector:** A roadway that provides for traffic movement between local roads/streets and arterials or community-wide activity centers and carries moderate traffic volumes over moderate distances (generally less than one mile). Major collectors may also provide direct access to abutting properties, such as regional shopping centers, large industrial parks, major subdivisions and community-wide recreational facilities, but typically not individual residences. Most major collectors are also county roads and are therefore through streets.

**Minor Impact – Roads: Minor Collector:** A roadway similar in functions to a major collector but which carries lower traffic volumes over shorter distances and has a higher degree of property access. Minor collectors may serve as main circulation streets within large, residential neighborhoods. Most minor collectors are also township roads and streets and may, or may not, be through streets.

**Minimal or No Impact – Roads: Local:** A roadway that is primarily intended to provide access to abutting properties. It tends to accommodate lower traffic volumes, serves short trips (generally within neighborhoods), and provides connections preferably only to collector streets rather than arterials.

## **Criterion 12 – Economic Health**

The District 2 Integrating Committee predetermines the jurisdiction's economic health. The economic health of a jurisdiction may periodically be adjusted when census and other budgetary data are updated.

## **Criterion 13 - Ban**

The jurisdiction shall provide documentation to show that a facility ban or moratorium has been formally placed. The ban or moratorium must have been caused by a structural or operational problem. Points will only be awarded if the end result of the project will cause the ban to be lifted.

## **Criterion 14 - Users**

The applying jurisdiction shall provide documentation. A registered professional engineer or the applying jurisdictions' C.E.O must certify the appropriate documentation. Documentation may include current traffic counts, households served, when converted to a measurement of persons. Public transit users are permitted to be counted for the roads and bridges, but only when certifiable ridership figures are provided.

## **Criterion 15 – Fees, Levies, Etc.**

The applying jurisdiction shall document (in the "Additional Support Information" form) which type of fees, levies or taxes they have dedicated toward the type of infrastructure being applied for.

VISIT OUR WEBSITE AT:

<http://www.hamilton-co.org/engineer/SCIP/tip.htm>